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## Universal Degrees of Field Extensions

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# Universal Degrees of Field Extensions

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## INTRODUCTION

Let  $\mathcal{C}$  be the category of finite groups. Let  $\text{Ob}(\mathcal{C})$  denote the class of objects of  $\mathcal{C}$  and let  $\text{Ob}(\mathcal{C})/\cong = \{[G] : G \in \text{Ob}(\mathcal{C})\}$  denote the set of isomorphism classes. The *Grothendieck group*  $\mathcal{G}$  of  $\mathcal{C}$  with respect to short exact sequences is the group generated by  $\text{Ob}(\mathcal{C})/\cong$  subject to the relations  $[G] = [H][N] \in \mathcal{G}$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1.$$

Let  $p$  be zero or a prime number and let  $\mathcal{E}_p$  be the collection of all pairs  $(K, L)$  where  $K$  is a field of characteristic  $p$  and  $L/K$  is a finite field extension. We call  $(K, L) \in \mathcal{E}_p$  normal, Galois or separable if the field extension  $L/K$  is normal, Galois or separable. Let  $D_p: \mathcal{E}_p \rightarrow \mathcal{G}$  be given by  $D_p(L/K) = [\text{Aut}_K(N)][\text{Aut}_L(N)]^{-1}$ , where  $N$  is a finite extension of  $L$  that is normal over  $K$ . We will call the map  $D_p$  the *Galois degree*. In Section 5 we show that the Galois degree is well-defined, as well as the following result.

**Theorem 1.** Suppose that  $(K, L) \in \mathcal{E}_p$  and  $(L, M) \in \mathcal{E}_p$ . Then

$$D_p(L/K) \cdot D_p(M/L) = D_p(M/K).$$

We call a field extension  $L'/K'$  a *base extension* of a field extension  $L/K$  if there exists a field homomorphism  $\psi: L \rightarrow L'$  with  $\psi(K) \subset K'$  such that for each basis  $B$  of  $L$  as a  $K$ -vector space,  $\psi(B)$  is a basis for  $L'$  as a  $K'$ -vector space. In Section 5 the following result will be shown.

**Theorem 2.** Assume that  $(K, L) \in \mathcal{E}_p$  is normal and  $L'/K'$  is a base extension of  $L/K$ . Then  $D_p(L/K) = D_p(L'/K')$ .

Let  $A$  be a multiplicatively written abelian group. A map  $d: \mathcal{E}_p \rightarrow A$  is called a *degree* with values in  $A$  if it satisfies the following two conditions:

- (i) if  $(K, L), (L, M) \in \mathcal{E}_p$  then  $d(M/K) = d(M/L) \cdot d(L/K)$  and
- (ii) if  $(K, L) \in \mathcal{E}_p$  is normal and  $L'/K'$  is a base extension of  $L/K$  then  $d(L/K) = d(L'/K')$ .

We let  $\text{Deg}(p, A)$  denote the set of all degrees  $d: \mathcal{E}_p \rightarrow A$ . A degree  $d: \mathcal{E}_p \rightarrow A$  is called *universal* if for each abelian group  $B$  the mapping  $\text{Hom}(A, B) \rightarrow \text{Deg}(p, B)$  sending  $f$  to  $f \circ d$  is a bijection.

The main results of this thesis are the following two theorems, which will be proven in Section 5.

**Theorem 3.** The Galois degree  $D_0: \mathcal{E}_0 \rightarrow \mathcal{G}$  is universal.

**Theorem 4.** Let  $p$  be prime and  $p^{\mathbb{Z}} = \{p^n : n \in \mathbb{Z}\} \subset \mathbb{Q}_{>0}$ . Then the map  $D: \mathcal{E}_p \rightarrow \mathcal{G} \times p^{\mathbb{Z}}$  given by  $D(L/K) = (D_p(L/K), [L : K]_i)$ , where  $[L : K]_i$  is the inseparability degree of  $L/K$ , is a universal degree.

In Section 4, a simplification of a degree, called a *basic degree* will be studied. This simplification consists of removing the condition that  $(K, L)$  is normal in (ii). In other words a basic degree is a degree that satisfies the following condition instead of (ii) above: (ii') if  $(K, L) \in \mathcal{E}_p$  and  $L'/K'$  is a base extension of  $L/K$  then  $d(L/K) = d(L'/K')$ .

We let  $\text{bdeg}(p, A)$  denote the set of all basic degrees  $d: \mathcal{E}_p \rightarrow A$  and call a basic degree  $d: \mathcal{E}_p \rightarrow A$  *universal* if for each abelian group  $B$  the map  $\text{Hom}(A, B) \rightarrow \text{bdeg}(p, B)$  sending  $f$  to  $f \circ d$  is a bijection.

Results from Section 2 will show that  $D_p$  is not a basic degree, which gives rise to the question if there is a universal basic degree. In Section 4 this question will be answered with the following two theorems.

**Theorem 5.** The basic degree  $d: \mathcal{E}_0 \rightarrow (\mathbb{Q}_{>0}, \cdot)$  given by  $d(L/K) = [L : K]$  is universal.

**Theorem 6.** Let  $p$  be prime. Then the basic degree  $d: \mathcal{E}_p \rightarrow (\mathbb{Q}_{>0}, \cdot) \times p^{\mathbb{Z}}$  given by  $d(L/K) = ([L : K]_s, [L : K]_i)$ , where  $[L : K]_s$  is the separability degree of  $L/K$ , is universal.

In the first two sections we will develop, mainly using Galois theory, some theory on linear disjointness and base extensions. In Section 3 the group  $\mathcal{G}$  will be studied and the following result will be proven.

**Theorem 7.** Let  $\mathcal{S}$  be the set of isomorphism classes of finite simple groups. Then  $\mathcal{G}$  is the free abelian group on  $\mathcal{S}$ .

## 1. LINEAR DISJOINTNESS

**Definition 1.1.** Let  $L/K$  be a field extension and let  $R, S$  be  $K$ -subalgebras of  $L$ . Then  $R$  and  $S$  are called  *$K$ -linearly disjoint in  $L$*  if the canonical ring homomorphism  $R \otimes_K S \rightarrow L$  is injective.

**Proposition 1.2.** Let  $L/K$  be a field extension and  $R, S$  be  $K$ -subalgebras of  $L$ . If  $R$  and  $S$  are  $K$ -linearly disjoint in  $L$  then  $R \cap S = K$ .

*Proof.* Suppose  $K \subsetneq R \cap S$  and let  $x \in (R \cap S) \setminus K$ . Then there exists a  $K$ -basis  $A$  of  $R$  and a  $K$ -basis  $B$  of  $S$  such that  $\{1, x\} \subset A \cap B$ . Note that the elements  $1 \otimes x$  and  $x \otimes 1$  are  $K$ -linearly independent in  $R \otimes_K S$ . However under the canonical ring homomorphism  $\iota: R \otimes_K S \rightarrow L$  the images of  $1 \otimes x$  and  $x \otimes 1$  are the same. Hence  $\iota$  is not injective.  $\square$

**Proposition 1.3.** Let  $L/K$  be a field extension and  $R, S$  be  $K$ -linearly disjoint  $K$ -subalgebras of  $L$ . If  $R'$  (resp.  $S'$ ) is a  $K$ -subalgebra of  $R$  (resp. of  $S$ ) then  $R'$  and  $S'$  are  $K$ -linearly disjoint in  $L$ .

*Proof.* Let  $\iota: R \otimes_K S \rightarrow L$  be the canonical ring homomorphism. Note that  $R' \otimes_K S' \subset R \otimes_K S$  and the canonical ring homomorphism  $\kappa: R' \otimes_K S' \rightarrow L$  is equal to  $\iota|_{R' \otimes_K S'}$ . Since  $R$  and  $S$  are  $K$ -linearly disjoint  $\iota$  is injective. Hence  $\kappa$  is injective making  $R'$  and  $S'$  linearly disjoint over  $K$  in  $L$ .  $\square$

**Proposition 1.4.** Let  $L/K$  be a field extension and  $R, S$  be  $K$ -subalgebras of  $L$ . Let  $I$  be a directed set. Suppose that  $R = \varinjlim R_i$  is a direct limit of a directed system  $\{R_i, f_{ij}\}$ , where  $R_i$  is a subalgebra of  $R_j$  and  $f_{ij}$  is the inclusion of  $R_i$  in  $R_j$  if  $i \leq j$ , of  $K$ -subalgebras of  $L$  over  $I$ . Then  $R$  and  $S$  are  $K$ -linearly disjoint in  $L$  if and only if for all  $i \in I$ , the  $K$ -algebras  $R_i$  and  $S$  are  $K$ -linearly disjoint in  $L$ .

*Proof.* Recall that direct limits and tensor products commute, so  $\varinjlim (R_i \otimes_K S) \cong (\varinjlim R_i) \otimes_K S$ . Let  $f_j: R_j \rightarrow \varinjlim R_i$ . Recall that direct limits have the following universal mapping property. If  $C$  is a  $K$ -algebra with for each  $i \in I$  a  $K$ -algebra homomorphism  $\psi_i: R_i \rightarrow C$  such that  $\psi_i = \psi_j \circ f_{ij}$  if  $i \leq j$ . Then there exists a unique  $K$ -algebra homomorphism  $\psi: \varinjlim R_i \rightarrow C$  such that for all  $i \in I$  one has  $\psi \circ f_i = \psi_i$ . One can find these properties of a directed system in chapter 2 of [1]. Extend the directed system  $\{R_i, f_{ij}\}$  to the directed system  $\{R_i \otimes_K S, f_{ij} \otimes \text{id}_S\}$  and for each  $i \in I$  let  $\psi_i: R_i \otimes_K S \rightarrow L$  be the canonical ring homomorphism. Note that  $\psi_i$  satisfies the condition of second property hence one obtains a unique  $K$ -algebra homomorphism  $\psi: \varinjlim R_i \otimes_K S \rightarrow L$  satisfying for each  $i \in I$  the equality  $\psi \circ (f_i \otimes \text{id}_S) = \psi_i$ . Note that  $f_i \otimes \text{id}_S$  is an inclusion hence injective. Therefore  $\psi$  is injective if and only if  $\psi_i$  is injective for each  $i \in I$ . The result now follows from applying the first property.  $\square$

**Proposition 1.5.** Let  $L/K$  be a field extension and  $R, S$  be  $K$ -subalgebras of  $L$ . Then  $R$  and  $S$  are  $K$ -linearly disjoint in  $L$  if and only if the subfields they generate, say  $E$  and  $F$ , are  $K$ -linearly disjoint in  $L$ .

*Proof.* Assume that  $R$  and  $S$  are  $K$ -linearly disjoint. It suffices to show that if  $x_1, \dots, x_n \in E$  are  $K$ -linearly independent and  $y_1, \dots, y_m \in F$  are  $K$ -linearly independent then  $\{x_i y_j\}_{1 \leq i \leq n, 1 \leq j \leq m}$  are  $K$ -linearly independent in  $L$ . There exist  $r_1, \dots, r_n, r \in R$  and  $s_1, \dots, s_m, s \in S$ , with  $r \neq 0 \neq s$ , such that  $x_i = r_i/r$  and  $y_j = s_j/s$  for all  $i$  and all  $j$ . Let  $\alpha_{i,j} \in K$  such that  $\sum_{i,j} \alpha_{i,j} r_i s_j / rs = 0$ .

Multiplication by  $rs$  yields  $\sum_{i,j} \alpha_{i,j} r_i s_j = 0$  hence  $\alpha_{i,j} = 0$  for all  $i$  and all  $j$ . The converse is immediate from Proposition 1.3.  $\square$

**Definition 1.6.** Let  $K$  be a field and  $R$  and  $S$  be  $K$ -algebras that are domains. We call  $R$  and  $S$  *somewhere  $K$ -linearly disjoint* if there exists a field extension  $L/K$  and  $K$ -algebra embeddings of  $R$  and  $S$  into  $L$  such that  $R$  and  $S$  are  $K$ -linearly disjoint in  $L$ . We call  $R$  and  $S$  *everywhere  $K$ -linearly disjoint* if for all field extensions  $L/K$  and all  $K$ -algebra embeddings of  $R$  and  $S$  into  $L$ , the embeddings of  $R$  and  $S$  are  $K$ -linearly disjoint in  $L$ .

**Proposition 1.7.** Let  $K$  be a field and  $R, S$  be  $K$ -algebras that are domains and let  $\text{Frac}(R), \text{Frac}(S)$  denote the fraction fields of  $R$  and  $S$ . Then the following hold:

- (i)  $R$  and  $S$  are somewhere  $K$ -linearly disjoint if and only if  $R \otimes_K S$  is a domain.
- (ii)  $R$  and  $S$  are everywhere  $K$ -linearly disjoint if and only if  $\text{Frac}(R) \otimes_K \text{Frac}(S)$  is a field.

*Proof.* (i). If  $R$  and  $S$  are somewhere  $K$ -linearly disjoint then  $R \otimes_K S$  can be embedded in a field hence it is a domain. Conversely if  $R \otimes_K S$  is a domain then it can be embedded in its fraction field.

(ii). Suppose  $R$  and  $S$  are everywhere  $K$ -linearly disjoint. Note that  $T = \text{Frac}(R) \otimes_K \text{Frac}(S) \supset K$ . In order to show that  $T$  is a field it suffices to show that  $(0)$  is the only maximal ideal of  $T$ . Let  $\mathfrak{m} \subset T$  be a maximal ideal, then  $E = T/\mathfrak{m}$  is a field containing  $\text{Frac}(R)$  and  $\text{Frac}(S)$ . Note that the induced map  $\iota: T \rightarrow E$  is the quotient map  $T \rightarrow T/\mathfrak{m}$ . From the assumption that  $R$  and  $S$  are everywhere  $K$ -linearly disjoint and Proposition 1.5 it follows that  $\iota$  is injective hence  $\mathfrak{m} = (0)$ . Conversely suppose that  $\text{Frac}(R) \otimes_K \text{Frac}(S)$  is a field. Let  $A$  be an arbitrary non-trivial ring, then any ring homomorphism  $\text{Frac}(R) \otimes_K \text{Frac}(S) \rightarrow A$  is injective. Hence any ring homomorphism from  $\text{Frac}(R) \otimes_K \text{Frac}(S)$  to a non-trivial  $K$ -algebra is injective. Since any field extension of  $K$  is a non-trivial  $K$ -algebra it follows that  $\text{Frac}(R)$  and  $\text{Frac}(S)$  are everywhere  $K$ -linearly disjoint and so from Proposition 1.3 it follows that  $R$  and  $S$  are everywhere  $K$ -linearly disjoint.  $\square$

**Proposition 1.8.** Let  $K$  be a field and  $E, F$  field extensions of  $K$  that are contained in a field  $\Omega$ . If  $E/K$  is finite then the following are equivalent:

- (i)  $E$  and  $F$  are  $K$ -linearly disjoint in  $\Omega$ ;
- (ii)  $E \otimes_K F$  is a field;
- (iii)  $[E : K] = [EF : F]$ .

*Proof.* (iii)  $\Leftrightarrow$  (i). Let  $\iota: E \otimes_K F \rightarrow EF$  be the canonical ring homomorphism. Note that  $\iota$  is a surjective  $F$ -linear map between finite dimensional  $F$ -vector spaces. Hence  $\dim_F \ker(\iota) = [E : K] - [EF : F]$  and so  $\iota$  is injective if and only if  $[E : K] = [EF : F]$ .

(ii)  $\Leftrightarrow$  (i). Suppose  $E$  and  $F$  are  $K$ -linearly disjoint in  $\Omega$ . Then  $E$  and  $F$  are somewhere  $K$ -linearly disjoint. From Proposition 1.7 it follows that  $E \otimes_K F$  is a domain. Note that  $\dim_F(E \otimes_K F) \leq [E : K]$  hence  $E \otimes_K F$  is a finitely generated  $F$ -vector space. Let  $x \in E \otimes_K F$  be an arbitrary non-zero and let  $\lambda_x: E \otimes_K F \rightarrow E \otimes_K F$  be given by  $\lambda_x(y) = xy$ . Since  $E \otimes_K F$  is a domain  $\lambda_x$  is injective and since  $E \otimes_K F$  is finitely generated as an  $F$ -vector space it follows that  $\lambda_x$  is surjective. From this it immediately follows that  $E \otimes_K F$  is a field.

The converse is immediate from Proposition 1.7.  $\square$

**Theorem 1.9.** Let  $K$  be a field and  $K \subset E, F$  be field extensions. Then the following hold:

- (i) Suppose  $E$  and  $F$  are everywhere  $K$ -linearly disjoint. Then at least one of  $E$  and  $F$  is algebraic of  $K$ .
- (ii) Suppose at least one of  $E$  and  $F$  is algebraic over  $K$ . Then  $E$  and  $F$  are somewhere  $K$ -linearly disjoint if and only if  $E$  and  $F$  are everywhere  $K$ -linearly disjoint.

*Proof.* (i). Suppose that both  $E$  and  $F$  are not algebraic over  $K$ . Assume that  $E$  and  $F$  are everywhere  $K$ -linearly disjoint. Then it follows from Proposition 1.8 that  $E \otimes_K F$  is a field. There exist transcendental subextensions  $K(a) \subset E$  and  $K(b) \subset F$ . Let  $X$  be a variable. Let  $K(X) \rightarrow K(a)$  and  $K(X) \rightarrow K(b)$  be given by  $X \rightarrow a$  and  $X \rightarrow b$  respectively. Let  $\phi: E \otimes_K F \rightarrow E \otimes_{K(X)} F$  be the canonical ring homomorphism. Since  $E \otimes_K F$  is a field and  $E \otimes_{K(X)} F \neq \{0\}$  it follows that  $\phi$  is injective. Note that  $\dim_{K(b)}(K(a) \otimes_K K(b)) = \infty$  and that  $\dim_{K(b)}(K(a) \otimes_{K(X)} K(b)) = 1$ . Since  $\phi(K(a) \otimes_K K(b)) = K(a) \otimes_{K(X)} K(b)$  it follows that  $\phi$  is not injective. This is a contradiction. Hence  $E$  and  $F$  are not everywhere  $K$ -linearly disjoint.

(ii). Suppose  $E$  is algebraic over  $K$  and that  $E$  and  $F$  are  $K$ -linearly disjoint in some field  $L$ . Let  $L'$  be a field and let  $E \rightarrow L'$  and  $F \rightarrow L'$  be embeddings that are equal on  $K$ . It needs to be shown that  $E$  and  $F$  are  $K$ -linearly disjoint in  $L'$ . Note that every algebraic extension is a direct limit of finite extensions. Hence by Proposition 1.4 it is no loss of generality to assume that  $E/K$  is finite. From Proposition 1.8 it follows that  $E \otimes_K F$  is a field and so from Proposition 1.7 it follows that  $E$  and  $F$  are everywhere  $K$ -linearly disjoint. The converse is clear.  $\square$

**Proposition 1.10.** Let  $K$  be a field and  $E, F$  be field extensions of  $K$  that are contained in a field  $\Omega$ . If  $E/K$  is finite Galois then the following hold:

- (i)  $EF$  is Galois over  $F$  and  $\text{Gal}(E/(E \cap F)) \cong \text{Gal}(EF/F)$ ;
- (ii)  $E$  and  $F$  are  $K$ -linearly disjoint in  $\Omega$  if and only if  $E \cap F = K$ .

*Proof.* (i). It is well known from basic Galois theory that  $EF$  is Galois over  $F$ . Define

$$\phi: \text{Gal}(EF/F) \rightarrow \text{Gal}(E/(E \cap F)), \psi \mapsto \psi|_E.$$

Note that  $\phi$  is well defined since each  $\psi \in \text{Gal}(EF/F)$  is the identity on  $F$  and so it is the identity on  $E \cap F$ . By definition  $\psi|_F = \text{id}_F$  hence if  $\psi|_E = \text{id}_E$  then  $\psi = \text{id}_{EF}$ . Hence  $\phi$  is injective. Note that  $E^{\text{im}(\phi)} = E \cap F$  hence  $\text{im}(\phi) = \text{Gal}(E/(E \cap F))$ . Therefore  $\phi$  is surjective and thus bijective. Hence from Galois theory it follows that  $[EF : F] = [E : E \cap F]$ .

(ii). If  $E \cap F = K$  then by part (i) one has  $[E : K] = [EF : F]$  and so from Proposition 1.8 it follows that  $E$  and  $F$  are  $K$ -linearly disjoint. The converse is direct from Proposition 1.2.  $\square$

**Notation.** Let  $G$  be a group and  $H \subset G$  be a subgroup. Then  $\text{Ind}_G(H)$  denotes the index of  $H$  in  $G$ .

**Proposition 1.11.** Let  $G$  be a finite group and let  $H, I \subset G$  be subgroups. Then  $\text{Ind}_G(H \cap I) \leq \text{Ind}_G(H) \cdot \text{Ind}_G(I)$  with equality if and only if  $G = HI$ .

*Proof.* Note that although  $HI$  need not be a group the number of cosets of  $I$  in  $HI$  is still well-defined hence  $\text{Ind}_{HI}(I)$  is well-defined. First we show  $\text{Ind}_{HI}(I) = \text{Ind}_H(H \cap I)$ . Let  $H$  act on  $G/I$  by left multiplication and let  $x = I/I \in G/I$ . Note



that  $H \cap I = \text{Stab}(x)$  and  $HI/I$  is the orbit of  $x$ . Corollary 4.8 of [3] states that if a group acts on a set, then for any element of the set the index of the stabilizer is equal to the cardinality of the orbit. Hence  $\#(HI/I) = \#(H/(H \cap I))$  and so  $\text{Ind}_{HI}(I) = \text{Ind}_H(H \cap I)$ . To prove the statement observe:

$$\text{Ind}_G(H \cap I) = \text{Ind}_G(H) \cdot \text{Ind}_H(H \cap I) = \text{Ind}_G(H) \cdot \text{Ind}_{HI}(I) \leq \text{Ind}_G(H) \cdot \text{Ind}_G(I).$$

□

**Proposition 1.12.** Let  $L/K$  be finite Galois with  $G = \text{Gal}(L/K)$  and let  $H, I \subset G$  be subgroups. Then  $L^H$  and  $L^I$  are  $K$ -linearly disjoint if and only if  $G = HI$ .

*Proof.* From Proposition 1.8 it follows that  $L^H$  and  $L^I$  are  $K$ -linearly disjoint if and only if  $[L^H : K] = [L^H L^I : L^I]$  which is equivalent to  $[L^H L^I : K] = [L^H : K][L^I : K]$  since  $L/K$  is finite. From Galois theory one has  $L^H L^I = L^{H \cap I}$  and  $[L^S : K] = \text{Ind}_G(S)$  for each subgroup  $S$  of  $G$ . Hence  $L^H$  and  $L^I$  are  $K$ -linearly disjoint if and only if  $\text{Ind}_G(H \cap I) = \text{Ind}_G(H) \cdot \text{Ind}_G(I)$  and so from Proposition 1.11 one obtains that  $L^H$  and  $L^I$  are  $K$ -linearly disjoint if and only if  $G = HI$ . □

## 2. BASE EXTENSIONS

**Definition 2.1.** A field extension  $L'/K'$  is called a *base extension* of a field extension  $L/K$  if there exists a field homomorphism  $\psi: L \rightarrow L'$  with  $\psi(K) \subset K'$  satisfying the following two equivalent conditions:

- (i) for every basis  $B$  of  $L$  as a  $K$ -vector space,  $\psi(B)$  is a basis of  $L'$  as a  $K'$ -vector space;
- (ii) the canonical map  $L \otimes_K K' \rightarrow L'$  is an isomorphism.

**Remark 2.2** (Transitive property of base extensions). If  $L'/K'$  is a base extension of  $L/K$  and  $L''/K''$  is a base extension of  $L'/K'$  then  $L''/K''$  is a base extension of  $L/K$ .

**Definition 2.3.** A set  $\{L_i/K_i\}_{i=0}^n$  of field extension is called a *chain of base extensions* if  $L_{i+1}/K_{i+1}$  is a base extension of  $L_i/K_i$  or  $L_i/K_i$  is a base extension of  $L_{i+1}/K_{i+1}$  for each  $0 \leq i < n$ .

The number  $n$  of base extensions in a chain (of base extensions) is called the *length* of the chain.

Two field extension  $L/K$  and  $L'/K'$  are called *connected* if there exists a chain of base extensions containing  $L/K$  and  $L'/K'$ .

**Proposition 2.4.** Let  $K$  be a field and  $K \subset L, M$  be two field extensions that are everywhere  $K$ -linearly disjoint. Then  $L \otimes_K M/M$  is a base extension of  $L/K$ . Moreover if  $L$  and  $M$  are contained in a larger field  $\Omega$  then  $LM/M$  is a base extension of  $L/K$ .

*Proof.* From Proposition 1.7 it follows that  $L \otimes_K M$  is a field. It is immediate from the definition that  $L \otimes_K M/M$  is a base extension of  $L/K$ . Suppose  $L$  and  $M$  are contained in a larger field  $\Omega$ . Let  $\iota: L \otimes_K M \rightarrow LM$  be the canonical homomorphism. Note that  $\iota$  is surjective. Moreover since  $L \otimes_K M$  is a field and  $LM \neq \{0\}$  it follows that  $\iota$  is injective. Hence  $\iota$  is an isomorphism and thus  $LM/M$  is a base extension of  $L/K$ . □

**Proposition 2.5.** Let  $L/K$  be a finite Galois extension and let  $L'/K'$  be a base extension of  $L/K$ . Then  $L'/K'$  is finite Galois and  $\text{Gal}(L/K) \cong \text{Gal}(L'/K')$ .

*Proof.* Since  $L'/K'$  is a base extension of  $L/K$  there is an field homomorphism  $\psi: L \rightarrow L'$ . Identify  $L$  and  $K$  with their images under  $\psi$  and take  $\Omega = L'$ . Note that  $L$  and  $K'$  are  $K$ -linearly disjoint in  $L'$ . Hence from Proposition 1.2 it follows that  $L \cap K' = K$ . From Proposition 1.8 it follows that  $[L : K] = [LK' : K']$  hence it follows that  $LK' = L'$ . The result follows from Proposition 1.10.  $\square$

**Definition 2.6.** Let  $G$  and  $H$  be groups, let  $X$  be a  $G$ -set and  $Y$  be a  $H$ -set. Let  $\phi: G \rightarrow H$  be a group homomorphism and  $\psi: Y \rightarrow X$  be a map. The actions of  $G$  and  $H$  are called *compatible* through  $\phi$  and  $\psi$  if for all  $g \in G$  and all  $y \in Y$  the equality  $\psi(\phi(g)y) = {}^g(\psi(y))$  holds.

**Theorem 2.7.** Let  $E$  and  $F$  be fields with the same characteristic. Let  $G \subset \text{Aut}(F)$  and  $S \subset \text{Aut}(E)$  be finite subgroups and let  $T \subset S$  be a subgroup. Let  $\phi: G \rightarrow S$  be a group homomorphism and let  $\psi: E \rightarrow F$  be an field homomorphism. Suppose that the actions of  $G$  and  $S$  are compatible through  $\phi$  and  $\psi$  and that  $G$  acts transitively on  $S/T$  through  $\phi$ , and let  $H = \text{Stab}(T/T) \subset G$ . Then  $F^H/F^G$  is a base extension of  $E^T/E^S$ .

*Proof.* Since the actions of  $G$  and  $S$  are compatible so are the actions of  $\phi(G)$  and  $S$ . Since  $G$  acts transitively on  $S/T$  so does  $\phi(G)$ , which is equivalent to  $S = T\phi(G)$ . Hence from Proposition 1.12 it follows that  $E^T$  and  $E^{\phi(G)}$  are linearly disjoint over  $E^S$ . From the definition of  $H$  one has  $\phi(H) = T \cap \phi(G)$  and from Galois theory it follows that  $E^T E^{\phi(G)} = E^{\phi(H)}$ . Applying Proposition 2.4 with  $K = E^S$ ,  $L = E^T$  and  $M = E^{\phi(G)}$  yields that  $E^{\phi(H)}/E^{\phi(G)}$  is a base extension of  $E^T/E^S$ . Note that  $\psi: E \rightarrow \psi(E)$  is an isomorphism and that the composition of an isomorphism with a base extension is again a base extension. With the compatibility of the actions it follows that  $\psi(E)^H/\psi(E)^G$  is a base extension of  $E^T/E^S$ . Since  $\psi(E)/\psi(E)^G$  is Galois one obtains from Proposition 1.10 that  $\psi(E)$  and  $F^G$  are linearly disjoint over  $\psi(E) \cap F^G = \psi(E)^G$ . From Proposition 1.3 it follows that  $\psi(E)^H$  and  $F^G$  are  $\psi(E)^G$ -linearly disjoint. Note  $\psi(E)^H F^G \subset F^H$  and by Proposition 1.8 and the assumption that  $G$  acts transitively on  $S/T$  one has

$$[\psi(E)^H F^G : F^G] = [\psi(E)^H : \psi(E)^G] = [G : H] = [F^H : F^G].$$

Hence  $\psi(E)^H F^G = F^H$ . By the transitive property of base extensions  $F^H/F^G$  is a base extension of  $E^T/E^S$ .

$$\begin{array}{ccccccc}
& & T & & \phi(H) & & H \\
& & \cap & & \cap & & \cap \\
S/T \curvearrowright S & \xleftarrow{\supset} & \phi(G) & \xleftarrow{\phi} & G & \xleftarrow{\supset} & G \\
\circlearrowleft & & \circlearrowleft & & \circlearrowleft & & \circlearrowleft \\
E & \xrightarrow{\subset} & E & \xrightarrow{\psi} & \psi(E) & \xrightarrow{\subset} & F \\
| & & | & & | & & | \\
E^T & & E^{\phi(H)} & & \psi(E)^H & & F^H \\
| & & | & & | & & | \\
E^S & & E^{\phi(G)} & & \psi(E)^G & & F^G
\end{array}$$

$\square$

**Notation.** Let  $K$  be a field and let  $\mathcal{X} = \{X_i : i \in I\}$  be a set of independent variables. Then  $K(\mathcal{X})$  denotes the field of rational functions in the variables  $X_i \in \mathcal{X}$  over  $K$ .

**Theorem 2.8.** Let  $K, K'$  be fields with the same characteristic and let  $n \in \mathbb{Z}_{>0}$ . Suppose  $L/K, L'/K'$  are finite separable field extensions of degree  $n$ . Then there exists a chain of base extensions of length 4 connecting  $L/K$  with  $L'/K'$ .

*Proof.* Let  $M$  be a Galois closure of  $L$  and let  $G = \text{Gal}(M/K)$ . Let  $\text{Hom}_K(L, M)$  denote the set of field homomorphism  $L \rightarrow M$  that are the identity on  $K$ . Note that  $G$  acts naturally on  $\text{Hom}_K(L, M)$  by composition. Let  $H \subset G$  be the stabilizer of the inclusion  $\iota \in \text{Hom}_K(L, M)$  of  $L$  into  $M$ , then  $M^H = L$ . Let  $\mathcal{X} = \{X_\alpha : \alpha \in \text{Hom}_K(L, M)\}$  be a set of independent variables. The group  $G$  acts on  $M(\mathcal{X})$  by its action on  $M$  and its action on  $\mathcal{X}$ . Note that this action is compatible with the action of  $G$  on  $M$ . Hence from Theorem 2.7 it follows that  $M(\mathcal{X})^H/M(\mathcal{X})^G$  is a base extension of  $M^H/M^G = L/K$ . Let  $S$  be the symmetric group of the set  $\text{Hom}_K(L, M)$  and let  $T \subset S$  be the stabilizer of  $\iota$ . Let  $\mathbb{F}$  be the prime field of  $K$  and let  $S$  act on  $\mathbb{F}(\mathcal{X})$  by its action on  $\mathcal{X}$ . Note that the action of  $G$  on  $M(\mathcal{X})$  is compatible with the action of  $S$  on  $\mathbb{F}(\mathcal{X})$ . Through its action on  $\text{Hom}_K(L, M)$  the group  $G$  is a subgroup of  $S$ . Since  $G$  acts transitively on  $\text{Hom}_K(L, M)$  and  $T$  is a stabilizer it follows that  $S = GT$  which is equivalent to  $G$  acting transitively on  $S/T$ . Hence from Theorem 2.7 it follows that  $M(\mathcal{X})^H/M(\mathcal{X})^G$  is a base extension of  $\mathbb{F}(\mathcal{X})^T/\mathbb{F}(\mathcal{X})^S$ , hence one obtains the following chain of base extensions of length two:

$$\{L/K, M(\mathcal{X})^H/M(\mathcal{X})^G, \mathbb{F}(\mathcal{X})^T/\mathbb{F}(\mathcal{X})^S\}.$$

Repeating the argument above for  $L'/K'$  yields a similar chain of base extensions of length two. Note that  $n = \#\text{Hom}_K(L, M) = \#\text{Hom}_{K'}(L', M')$  hence the symmetric groups are isomorphic. It is clear that by identifying the inclusion of  $L$  in  $M$  with the inclusion of  $L'$  in  $M'$  one obtains a group isomorphism  $\phi: S \rightarrow S'$  such that  $\phi(T) = T'$ . Hence  $M'(\mathcal{X}')^{H'}/M'(\mathcal{X}')^{G'}$  is a base extension of  $\mathbb{F}(\mathcal{X})^T/\mathbb{F}(\mathcal{X})^S$ . Therefore one obtains the following chain of base extensions connecting  $L/K$  to  $L'/K'$  of length four:

$$\{L/K, M(\mathcal{X})^H/M(\mathcal{X})^G, \mathbb{F}(\mathcal{X})^T/\mathbb{F}(\mathcal{X})^S, M'(\mathcal{X}')^{H'}/M'(\mathcal{X}')^{G'}, L'/K'\}.$$

□

**Definition 2.9.** A base extension  $L'/K'$  of  $L/K$  is called *trivial* if there exists a field isomorphism  $\psi: L \rightarrow L'$  that satisfies the conditions of Definition 2.1.

**Example 2.10.** Let  $\overline{\mathbb{Q}}$  be an algebraic closure of  $\mathbb{Q}$  and let  $\zeta_5, \zeta_8 \in \overline{\mathbb{Q}}$  be a 5<sup>th</sup> and an 8<sup>th</sup> primitive root of unity. Then it follows from Galois theory that  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  and  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$  are finite Galois extensions with  $\text{Gal}(\mathbb{Q}(\zeta_5)/\mathbb{Q}) \cong C_4$  and  $\text{Gal}(\mathbb{Q}(\zeta_8)/\mathbb{Q}) \cong V_4$ , where  $C_4$  is the cyclic group of order 4 and  $V_4$  is the Klein four-group. From the above theorem it follows that there exists a chain of base extensions of length 4 connecting  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  with  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$ . In this example we show that there does not exist a shorter such chain. Since  $V_4 \not\cong C_4$  it follows from Proposition 2.5 that  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  is not a base extension of  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$ . Hence there is no chain of length equal to one. Suppose that  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  is a base extension of  $L/K$ . Then it immediately follows that  $K = \mathbb{Q}$  and  $L \cong \mathbb{Q}(\zeta_5)$ . Hence  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  is not a non-trivial base extension of a field extension  $L/K$ . It is clear that this argument also applies to  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$ . From this it follows that a chain of length 3 can be shortened using the transitive property of base extensions to a chain of length equal to 2 or 1. It remains to show that there is no chain of length two. Suppose that there is such a chain. Then there exists a field extension  $L/K$  such that  $L/K$  is a base extension both of  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  and of  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$ . From Proposition 2.5 it follows that  $L/K$  is Galois and that  $V_4 \cong \text{Gal}(L/K) \cong C_4$ . This is a contradiction hence there

is no chain of length two. Hence there is no chain of base extensions connecting  $\mathbb{Q}(\zeta_5)/\mathbb{Q}$  with  $\mathbb{Q}(\zeta_8)/\mathbb{Q}$  of length shorter than four.

**Proposition 2.11.** Let  $p$  be prime and  $K$  a field of characteristic  $p$  and let  $f \in K[X]$  be irreducible. Write  $f(X) = g(X^{p^m})$  with  $m \geq 0$  maximal. Then  $g$  is irreducible and separable over  $K$  and each root of  $f$  has multiplicity equal to  $p^m$ .

*Proof.* Since  $\deg f = p^m \deg g$  there is a largest possible  $m$  that can be used. Note that since  $f(X) = g(X^{p^m})$ , any non-trivial factorisation of  $g$  gives a non-trivial factorisation of  $f$  hence  $g$  is irreducible in  $K[X]$ . Since  $g$  is irreducible it follows that  $g$  is separable if and only if its derivative is non-zero. By the maximality of  $m$  it follows that  $g$  is not a polynomial in  $X^p$ , hence its derivative is non-zero. Let  $M/K$  be a splitting field of  $g$  and factor  $g$  over  $M$  as

$$g(X) = c(X - a_1)(X - a_2) \cdots (X - a_n).$$

Note that the  $a_i$  are distinct since  $g$  is separable. Take  $b_1, \dots, b_n$  in a sufficiently large field extension of  $M$  such that  $a_i = b_i^{p^m}$ . It follows from the distinctness of the  $a_i$  that the  $b_i$  are distinct. From this it follows that

$$f(X) = g(X^{p^m}) = c(X^{p^m} - a_1) \cdots (X^{p^m} - a_n) = c(X - b_1)^{p^m} \cdots (X - b_n)^{p^m},$$

which shows that the roots of  $f$  have multiplicity equal to  $p^m$ .  $\square$

**Corollary 2.12.** Let  $p$  be prime and  $K$  a field of characteristic  $p$ . Suppose that  $f \in K[X]$  is irreducible with exactly one root in a splitting field over  $K$ . Then  $f$  is of the form  $X^{p^m} - a$  for some  $m \geq 0$ .

**Corollary 2.13.** Let  $p$  be prime,  $K$  a field of characteristic  $p$  and  $L/K$  a finite purely inseparable field extension. Then  $[L : K] = p^n$  for some  $n \geq 0$  and there exists a tower of field extensions  $K = L_n \subset L_{n-1} \subset \dots \subset L_1 \subset L_0 = L$  such that  $L_i/L_{i+1}$  is purely inseparable of degree  $p$ .

**Theorem 2.14.** Let  $p$  be prime and let  $K, K'$  be fields of characteristic  $p$ . Suppose that  $L/K$  and  $L'/K'$  are purely inseparable field extensions such that  $[L : K]_i = [L' : K']_i = p$ . Then there exists a chain of base extensions of length 2 connecting  $L/K$  with  $L'/K'$ .

*Proof.* Since  $[L : K]_i = p$  there exists  $\alpha \in L$  such that  $L = K(\alpha)$  and  $\alpha^p \in K$ . Similarly there exists  $\alpha' \in L'$  such that  $L' = K'(\alpha')$  and  $(\alpha')^p \in K'$ . Note that  $\alpha$  and  $\alpha'$  are transcendental over  $\mathbb{F}_p$ . Let  $T$  be a variable. Let  $\phi: \mathbb{F}_p(T) \rightarrow L$  be the field homomorphism such that  $\phi(T) = \alpha$  and let  $\phi': \mathbb{F}_p(T) \rightarrow L'$  be the field homomorphism such that  $\phi'(T) = \alpha'$ . It is clear that  $\phi$  and  $\phi'$  make  $L/K$  and  $L'/K'$  into base extensions of  $\mathbb{F}_p(T)/\mathbb{F}_p(T^p)$ . Hence one obtains the following chain of base extensions of length 2 connecting  $L/K$  with  $L'/K'$ :

$$\{L/K, \mathbb{F}_p(T)/\mathbb{F}_p(T^p), L'/K'\}.$$

$\square$

**Definition 2.15.** A chain of base extensions  $\{L_i/K_i\}_{i=0}^n$  is called *group preserving* if each  $L_i/K_i$  is finite Galois.

**Remark 2.16.** Let  $\{L_i/K_i\}_{i=0}^n$  be a group preserving chain of base extensions. Then it follows from Proposition 2.5 that  $\text{Gal}(L_i/K_i) \cong \text{Gal}(L_j/K_j)$  for  $0 \leq i, j \leq n$ .

**Theorem 2.17.** Let  $K, K'$  be fields with the same characteristic. Suppose  $L/K, L'/K'$  are finite Galois extensions such that  $\text{Gal}(L/K) \cong \text{Gal}(L'/K')$ . Then there exists a group preserving chain of base extensions of length 4 connecting  $L/K$  with  $L'/K'$ .

*Proof.* Set  $G = \text{Gal}(L/K)$  and let  $\mathcal{X} = \{X_\sigma : \sigma \in G\}$  be a set of independent variables. Let  $G$  act on  $L(\mathcal{X})$  by its action on  $L$  and its action on  $\mathcal{X}$ . The action of  $G$  on  $L(\mathcal{X})$  is compatible with the action of  $G$  on  $L$ . Hence from Theorem 2.7 it follows that  $L(\mathcal{X})/L(\mathcal{X})^G$  is a base extension of  $L/K$ . Let  $\mathbb{F}$  be the prime field of  $K$  and let  $G$  act on  $\mathbb{F}(\mathcal{X})$  by its action on  $\mathcal{X}$ . The action of  $G$  on  $L(\mathcal{X})$  is compatible with the action of  $G$  on  $\mathbb{F}(\mathcal{X})$ . Hence Theorem 2.7 shows that  $L(\mathcal{X})/L(\mathcal{X})^G$  is a base extension of  $\mathbb{F}(\mathcal{X})/\mathbb{F}(\mathcal{X})^G$ . Let  $G$  act on  $L'(\mathcal{X})$  by its action on  $L'$  and its action on  $\mathcal{X}$ . Applying the arguments above to  $L'(\mathcal{X})$  one obtains, using Proposition 2.5, the following group preserving chain of base extensions of length 4:

$$\{L/K, L(\mathcal{X})/L(\mathcal{X})^G, \mathbb{F}(\mathcal{X})/\mathbb{F}(\mathcal{X})^G, L'(\mathcal{X})/L'(\mathcal{X})^G, L'/K'\}.$$

□

**Example 2.18.** Let  $\mathbb{F}$  be a prime field and let  $L/\mathbb{F}$  be a Galois extension such that  $\text{Gal}(L/\mathbb{F}) \cong C_4$ . Let  $\mathbb{F} \subset K \subset L$  be the fixed field of  $C_2 \triangleleft C_4$ . Then  $K/\mathbb{F}$  is Galois and  $C_2 \cong \text{Gal}(L/K) \cong \text{Gal}(K/\mathbb{F})$ . From the theorem above it follows that there exists a group preserving chain of base extensions connecting  $K/\mathbb{F}$  with  $L/K$  of length four. In this example we show that there does not exist a shorter such chain. First note that  $L/K$  is not a base extension of  $K/\mathbb{F}$  hence there does not exist a chain of length one. Using similar arguments as in Example 2.10 it follows that  $K/\mathbb{F}$  is not a non-trivial base extension. Suppose that  $L/K$  is a base extension of  $M/N$ . From  $\text{Gal}(K/\mathbb{F}) \cong C_2$  it follows that either  $L/K$  is a trivial base extension of  $M/N$  or  $N = \mathbb{F}$  and  $M \cong K$ . Since  $L/K$  is not a base extension of  $K/\mathbb{F}$  it follows that  $L/K$  is not a non-trivial base extension of  $M/N$ . It follows from this that a chain of length 3 can be shortened using the transitive property of base extensions to a chain of length equal to 2 or 1. Hence to show that there does not exist a chain of length shorter than 4 it suffices to show that there is no chain of length 2. Suppose that  $M/N$  is a base extension of  $L/K$  and of  $K/\mathbb{F}$ . Let  $\text{Hom}_{\mathbb{F}}(K, M)$  be the set of field homomorphisms  $L \rightarrow M$  which are the identity on  $\mathbb{F}$  and let  $\phi \in \text{Hom}_{\mathbb{F}}(K, M)$  be arbitrary. Note that  $\text{Aut}_{\mathbb{F}}(M)$  acts transitively on  $\text{Hom}_{\mathbb{F}}(K, M)$  by composition. It follows from the fact that  $K/\mathbb{F}$  is normal that  $\sigma(\phi(K)) = \phi(K)$  for all  $\sigma \in \text{Aut}_{\mathbb{F}}(M)$ . Let  $\psi: L \rightarrow M$  be as in the definition of a base extension. Note that  $\psi|_K \in \text{Hom}_{\mathbb{F}}(K, M)$  and that  $\psi(K) \subset N$ . Hence it follows that  $\phi(K) \subset N$  for all  $\phi \in \text{Hom}_{\mathbb{F}}(K, M)$ . Therefore  $M/N$  cannot be a base extension of  $K/\mathbb{F}$ . Hence there does not exist a group preserving chain of base extensions connecting  $K/\mathbb{F}$  with  $L/K$  of length shorter than 4.

### 3. THE GROTHENDIECK GROUP OF FINITE GROUPS

**Definition 3.1.** Let  $G$  be a group. A series

$$\{1\} = G_n \subset G_{n-1} \subset \dots \subset G_1 \subset G_0 = G$$

of subgroups of  $G$  is called *subnormal* if  $G_i \triangleleft G_{i-1}$  for  $0 < i \leq n$ .

**Definition 3.2.** Let

$$\begin{aligned} (*) \quad & \{1\} = G_n \subset G_{n-1} \subset \dots \subset G_1 \subset G_0 = G \\ (**) \quad & \{1\} = H_m \subset H_{m-1} \subset \dots \subset H_1 \subset H_0 = G \end{aligned}$$

be two subnormal series of a group  $G$ . The subnormal series  $(**)$  is called a *refinement* of  $(*)$  if  $(**) = (*)$  or  $(**)$  is obtained from  $(*)$  by insertion of subgroups. The subnormal series  $(*)$  and  $(**)$  are called *equivalent* if there exists a bijection

$$\sigma: \{0, 1, \dots, n-1\} \rightarrow \{0, 1, \dots, m-1\}$$

such that  $G_i/G_{i+1} \cong H_{\sigma(i)}/H_{\sigma(i)+1}$  for each  $i$ .

**Definition 3.3.** A subnormal series

$$\{1\} = G_n \subset G_{n-1} \subset \dots \subset G_1 \subset G_0 = G$$

of a group  $G$  is called a *composition series* if each  $G_{i+1}$  is a maximal normal subgroup in  $G_i$ .

**Remark 3.4.** A subnormal series

$$\{1\} = G_n \subset G_{n-1} \subset \dots \subset G_1 \subset G_0 = G$$

of a group  $G$  is a composition series if and only if  $G_i/G_{i+1}$  is simple for all  $i$ .

**Remark 3.5.** Let  $G$  be a finite group. Then  $G$  has a composition series.

**Theorem 3.6** (Jordan-Hölder-Schreier). Let  $G$  be a group. Then any two subnormal series of  $G$  have refinements that are equivalent. Moreover any two composition series of  $G$  are equivalent.

*Proof.* See [2]. □

**Definition 3.7.** Let  $G$  be a group with a composition series

$$\{1\} = G_n \subset G_{n-1} \subset \dots \subset G_1 \subset G_0 = G.$$

The factor groups  $G_i/G_{i+1}$  are called the *composition factors* of  $G$ .

**Remark 3.8.** From Theorem 3.6 it follows that two composition series of a group  $G$  are equivalent. Therefore the composition factors of a group are well-defined.

**Definition 3.9.** Let  $\mathcal{C}$  be the category of finite groups. Let  $\text{Ob}(\mathcal{C})$  denote the class of objects of  $\mathcal{C}$  and let  $\text{Ob}(\mathcal{C})/\cong$  denote the set of isomorphism classes. The *Grothendieck group*  $\mathcal{G}$  on  $\mathcal{C}$  with respect to short exact sequences is the group generated by  $\text{Ob}(\mathcal{C})/\cong$  subject to the relations  $[G] = [H][N] \in \mathcal{G}$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1.$$

**Remark 3.10.** The Grothendieck group  $\mathcal{G}$  satisfies the following universal mapping property. For each group  $B$  and each map  $\phi: \text{Ob}(\mathcal{C})/\cong \rightarrow B$  which satisfies  $\phi([G]) = \phi([H])\phi([N])$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1$$

there exists a unique group homomorphism  $h: \mathcal{G} \rightarrow B$  such that  $h \circ \text{id}_{\text{Ob}(\mathcal{C})/\cong} = \phi$ .

**Proposition 3.11.** Let  $B$  be a group with a map  $\psi: \text{Ob}(\mathcal{C})/\cong \rightarrow B$  that satisfies  $\psi([G]) = \psi([H])\psi([N])$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1.$$

Suppose that  $B$  and  $\psi$  satisfy the universal mapping property of  $\mathcal{G}$ . Then there exists a unique group isomorphism  $h: \mathcal{G} \rightarrow B$  such that  $h \circ \text{id}_{\text{Ob}(\mathcal{C})/\cong} = \psi$ . Moreover  $h$  satisfies  $h^{-1} \circ \psi = \text{id}_{\text{Ob}(\mathcal{C})/\cong}$ .

*Proof.* Since  $\mathcal{G}$  satisfies the universal mapping property there exists a unique group homomorphism  $h: \mathcal{G} \rightarrow B$  such that  $h \circ \text{id}_{\text{Ob}(\mathcal{C})/\cong} = \psi$ . Furthermore since  $B$  and  $\psi$  satisfy the universal mapping property there exists a unique group homomorphism  $h': B \rightarrow \mathcal{G}$  such that  $h' \circ \psi = \text{id}_{\text{Ob}(\mathcal{C})/\cong}$ . From this it follows that  $\psi = h \circ \text{id}_{\text{Ob}(\mathcal{C})/\cong} = (h \circ h') \circ \psi$  hence  $h \circ h' = \text{id}_{\mathcal{G}}$  and similarly  $h' \circ h = \text{id}_B$ . Hence  $h' = h^{-1}$ .  $\square$

**Proposition 3.12.** Let  $B$  be an arbitrary group with a map  $\phi: (\text{Ob}(\mathcal{C})/\cong) \rightarrow B$  such that  $\phi([G]) = \phi([H])\phi([N])$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1.$$

Then the following hold:

- (i)  $\phi(\{1\}) = 1 \in B$ ;
- (ii) the image of  $\phi$  generates an abelian subgroup of  $B$ ;
- (iii) let  $G$  be a finite group with a subnormal series  $\{1\} = G_n \subset \dots \subset G_1 \subset G_0 = G$  and let  $Q_i = G_{i-1}/G_i$ . Then the equality  $\phi([G]) = \prod_{i=1}^n \phi([Q_i])$  holds in  $B$ .

*Proof.* (i). Let  $G$  be an arbitrary finite group. Then the following short sequence is exact:

$$1 \rightarrow G \xrightarrow{\text{id}} G \rightarrow 1 \rightarrow 1.$$

Therefore  $\phi([G]) = \phi([G])\phi(\{1\})$  hence  $\phi(\{1\}) = 1 \in B$ .

(ii). Let  $G$  and  $H$  be finite groups. Note that the following short sequences are exact:

$$\begin{aligned} 1 \rightarrow G &\xrightarrow{g \mapsto (g,1)} G \times H \xrightarrow{(g,h) \mapsto h} H \rightarrow 1, \\ 1 \rightarrow H &\xrightarrow{h \mapsto (1,h)} G \times H \xrightarrow{(g,h) \mapsto g} G \rightarrow 1. \end{aligned}$$

Hence it follows that  $[G][H] = [G \times H] = [H][G] \in B$ .

(iii). Note that for  $0 < i \leq n$  the short sequence

$$1 \rightarrow G_i \rightarrow G_{i-1} \rightarrow Q_i \rightarrow 1$$

is exact, hence  $\phi([Q_i]) = \phi([G_{i-1}])\phi([G_i])^{-1}$  in  $B$ . From part (i) and part (ii) it follows that

$$\prod_{i=1}^n \phi([Q_i]) = \prod_{i=1}^n (\phi([G_{i-1}])\phi([G_i])^{-1}) = \phi([G_0])\phi([G_n])^{-1} = \phi([G]).$$

$\square$

**Theorem 3.13.** Let  $\mathcal{S} \subset \text{Ob}(\mathcal{C})/\cong$  be the set of isomorphism classes of simple groups. Then  $\mathcal{G}$  is the free abelian group on  $\mathcal{S}$ .

*Proof.* Let  $\mathcal{A}$  be the free abelian group on  $\mathcal{S}$ . Define the map  $\psi: (\text{Ob}(\mathcal{C})/\cong) \rightarrow \mathcal{A}$  by  $\psi([G]) = \prod_{i=1}^n [Q_i]$  where  $Q_1, \dots, Q_n$  are the composition factors of  $G$ . From Remark 3.8 it follows that  $\psi$  is well-defined. Let

$$1 \rightarrow H \xrightarrow{f} G \xrightarrow{g} N \rightarrow 1$$

be a short exact sequence of finite groups. Then  $H \cong f(H) \triangleleft G$  and  $N \cong G/f(H)$ . Hence  $\{1\} \triangleleft H \triangleleft G$  is a subnormal series which can be extended to a composition series

$$\{1\} = G_n \subset G_{n-1} \subset \dots \subset G_k = f(H) \subset \dots \subset G_0 = G.$$

Take  $Q_i = G_{i-1}/G_i$  for  $0 < i \leq n$ . Since  $\{0\} = G_n \subset \dots \subset G_k = f(H)$  is a composition series it follows that  $Q_{k+1}, \dots, Q_n$  are the composition factors of  $H$ . Moreover  $Q_1, \dots, Q_k$  are the composition factors of  $N$ . Hence it follows that

$$\psi([G]) = \prod_{i=1}^n [Q_i] = \left( \prod_{i=k+1}^n [Q_i] \right) \left( \prod_{i=1}^k [Q_i] \right) = \psi([H])\psi([N]).$$

Let  $B$  be a group and  $\phi: (\text{Ob}(\mathcal{C})/\cong) \rightarrow B$  a map that satisfies  $\phi([G]) = \phi([H])\phi([N])$  if there exists a short exact sequence

$$1 \rightarrow H \rightarrow G \rightarrow N \rightarrow 1.$$

Define  $h: \mathcal{S} \rightarrow B$  by  $h([S]) = \phi([S])$ . From Proposition 3.12 it follows that without loss of generality it can be assumed that  $B$  is abelian. By the universal mapping property of a free abelian group it follows that  $h$  can be extended uniquely to a group homomorphism  $\bar{h}: \mathcal{A} \rightarrow B$ . Let  $[G] \in \text{Ob}(\mathcal{C})/\cong$  be arbitrary and let  $Q_1, \dots, Q_n$  be the composition factors of  $G$ . Then from Proposition 3.12 it follows that

$$\phi([G]) = \prod_{i=1}^n \phi([Q_i]) = \prod_{i=1}^n \bar{h}([Q_i]) = (\bar{h} \circ \psi)([G]).$$

□

#### 4. BASIC DEGREES

**Definition 4.1.** Let  $p$  be zero or a prime number and let  $\mathcal{E}_p$  be the collection of all pairs  $(K, L)$  with  $K$  a field of characteristic  $p$  and  $L$  a finite field extension of  $K$ . Let  $A$  be a multiplicatively written abelian group. A *basic degree* with values in  $A$  is a map  $d: \mathcal{E}_p \rightarrow A$  such that:

- (i) if  $(K, L) \in \mathcal{E}_p$  and  $(L, M) \in \mathcal{E}_p$  then  $d(M/K) = d(M/L) \cdot d(L/K)$ ,
- (ii) if  $(K, L) \in \mathcal{E}_p$  and  $(K', L')$  is a base extension of  $(K, L)$  then  $d(L/K) = d(L'/K')$ .

Let  $\text{bdeg}(p, A)$  denote the set of basic degrees  $d: \mathcal{E}_p \rightarrow A$ .

A basic degree  $d: \mathcal{E}_p \rightarrow A$  is called *universal* if for each multiplicatively written abelian group  $B$  the mapping  $\text{Hom}(A, B) \rightarrow \text{bdeg}(p, B)$  sending  $f$  to  $f \circ d$  is a bijection.

**Remark 4.2.** If  $d: \mathcal{E}_p \rightarrow A$  and  $d': \mathcal{E}_p \rightarrow B$  are two universal basic degrees then there exists a unique group isomorphism  $h: A \rightarrow B$  such that  $h \circ d = d'$ . Moreover  $h$  satisfies  $h^{-1} \circ d' = d$ .

**Example 4.3.** Let  $p$  be zero or a prime number. Then the following are examples of basic degrees:

- (i)  $\mathcal{E}_p \rightarrow \{1\}, (K, L) \mapsto 1$ ;
- (ii)  $\mathcal{E}_p \rightarrow (\mathbb{Q}_{>0}, \cdot), (K, L) \mapsto [L : K]$ ;
- (iii) If  $d: \mathcal{E}_p \rightarrow A$  a basic degree and  $B$  is a group with a group homomorphism  $f: A \rightarrow B$  then  $f \circ d$  is a basic degree.

**Proposition 4.4.** Let  $p$  be zero or a prime number. Then for all  $n, m \in \mathbb{Z}_{>0}$  there exists a tower of field extensions  $K \subset L \subset M$  such that  $\text{Char}(K) = p$  and  $([L : K]_s, [M : L]_s) = (n, m)$ . Moreover if  $p$  is prime then for all  $n \in \mathbb{Z}_{>0}$  there exists a field extension  $L/K$  such that  $[L : K]_s = n$  and  $[L : K]_i = p$ .



*Proof.* Let  $n, m \in \mathbb{Z}_{>0}$  be arbitrary and let  $X$  be a variable. Note that  $\mathbb{Q}(X^{nm}) \subset \mathbb{Q}(X^m) \subset \mathbb{Q}(X)$  is a tower of separable field extensions such that  $[\mathbb{Q}(X^m) : \mathbb{Q}(X^{nm})]_s = n$  and  $[\mathbb{Q}(X) : \mathbb{Q}(X^m)]_s = m$ . Assume that  $p$  is prime. Let  $\overline{\mathbb{F}}_p$  be an algebraic closure of  $\mathbb{F}_p$  and let  $F \in \text{Aut}_{\mathbb{F}_p}(\overline{\mathbb{F}}_p)$  be the Frobenius map. From Galois theory it follows that  $\mathbb{F}_{p^n} = \{\alpha \in \overline{\mathbb{F}}_p : F^n(\alpha) = \alpha\}$  is a separable field extension of  $\mathbb{F}_p$  of degree  $n$ . Hence it follows that  $\mathbb{F}_p \subset \mathbb{F}_{p^n} \subset \mathbb{F}_{p^{nm}}$  is a tower of separable field extensions such that  $[\mathbb{F}_{p^n} : \mathbb{F}_p]_s = n$  and  $[\mathbb{F}_{p^{nm}} : \mathbb{F}_{p^n}]_s = m$ . Note that  $\mathbb{F}_{p^n}(X^p)/\mathbb{F}_p(X^p)$  is a base extension of  $\mathbb{F}_{p^n}/\mathbb{F}_p$  hence  $\mathbb{F}_{p^n}(X^p)/\mathbb{F}_p(X^p)$  is a separable extension of degree  $n$ . It is clear that  $\mathbb{F}_{p^n}(X)/\mathbb{F}_{p^n}(X^p)$  is a purely inseparable field extension such that  $[\mathbb{F}_{p^n}(X) : \mathbb{F}_{p^n}(X^p)]_i = p$ . Hence it follows that  $\mathbb{F}_{p^n}(X)/\mathbb{F}_p(X^p)$  is a field extension such that  $[\mathbb{F}_{p^n}(X) : \mathbb{F}_p(X^p)]_s = n$  and  $[\mathbb{F}_{p^n}(X) : \mathbb{F}_p(X^p)]_i = p$ .  $\square$

**Theorem 4.5.** The basic degree  $d: \mathcal{E}_0 \rightarrow (\mathbb{Q}_{>0}, \cdot)$  given by  $d(K, L) \mapsto [L : K]$  is universal.

*Proof.* Let  $B$  be an arbitrary multiplicatively written abelian group and  $d': \mathcal{E}_0 \rightarrow B$  an arbitrary basic degree with values in  $B$ . Let  $(K, L), (K', L') \in \mathcal{E}_0$  be such that  $[L : K] = [L' : K']$ . Then from Theorem 2.8 it follows that  $d'(L/K) = d'(L'/K')$ . Define the map  $\phi: \mathbb{Z}_{>0} \rightarrow B$  by  $\phi(n) = d'(L/K)$  where  $[L : K] = n$ . From Proposition 4.4 and the above it follows that  $\phi$  is well-defined and multiplicative hence  $\phi$  can be extended to a group homomorphism  $\bar{\phi}: \mathbb{Q}_{>0} \rightarrow B$ . Note that  $\bar{\phi}$  is unique since every group homomorphism on  $\mathbb{Q}_{>0}$  is uniquely determined by its values on  $\mathbb{Z}_{>0}$ . Moreover it follows in a straightforward way from the definition of  $\phi$  that  $\bar{\phi} \circ d = d'$ . This proves that  $d$  is universal.  $\square$

**Notation.** Let  $L/K$  be a field extension. Then  $\text{Sep}_L(K)$  denotes the separable closure of  $K$  in  $L$ .

**Theorem 4.6.** Let  $p > 0$  be prime and  $p^{\mathbb{Z}} = \{p^n : n \in \mathbb{Z}\} \subset \mathbb{Q}_{>0}$ . Then the basic degree  $d: \mathcal{E}_p \rightarrow (\mathbb{Q}_{>0}, \cdot) \times p^{\mathbb{Z}}$  given by  $d(L/K) = ([L : K]_s, [L : K]_i)$  is universal.

*Proof.* Let  $B$  be an arbitrary multiplicatively written abelian group and  $d': \mathcal{E}_0 \rightarrow B$  an arbitrary basic degree with values in  $B$ . Let  $(K, L), (K', L') \in \mathcal{E}_p$  be such that  $[L : K]_s = [L' : K']_s$  and  $[L : K]_i = [L' : K']_i$ . Then from Theorem 2.8 it follows that  $d'(\text{Sep}_L(K)/K) = d'(\text{Sep}_{L'}(K')/K')$ . From Corollary 2.13 and Theorem 2.14 it follows that  $d'(L/\text{Sep}_L(K)) = d'(L'/\text{Sep}_{L'}(K'))$ . Therefore it follows that  $d'(L/K) = d'(L'/K')$ . Define  $\phi: \mathbb{Q}_{>0} \times p^{\mathbb{Z}} \rightarrow B$  by

$$\phi(a/b, p^n) = d'(L_a/K_a)(d'(L_b/K_b))^{-1}(d'(L'/K'))^n$$

where  $L_a/K_a$  (resp.  $L_b/K_b$ ) is a separable field extension of degree  $a$  (resp. degree  $b$ ) and  $L'/K'$  is a purely inseparable field extension of degree  $p$ . It follows from Proposition 4.4 that  $\phi$  is a well-defined group homomorphism. Let  $(K, L) \in \mathcal{E}_p$  be arbitrary. Then from Theorem 2.14 and Corollary 2.13 it follows that if  $[L : K]_i = p^n$  then  $d'(L/\text{Sep}_L(K)) = d'(L'/K')^n$  where  $L'/K'$  is a purely inseparable extension of degree  $p$ . Hence the following holds:

$$d'(L/K) = d'(\text{Sep}_L(K)/K)d'(L/\text{Sep}_L(K)) = \phi([L : K]_s, [L : K]_i) = (\phi \circ d)(K, L).$$

Hence  $\phi$  satisfies  $\phi \circ d = d'$ . It is clear that  $\phi$  is unique. This shows that  $d$  is a universal basic degree.  $\square$

## 5. DEGREES OF FIELD EXTENSIONS

**Definition 5.1.** Let  $p$  be zero or prime and  $A$  be a multiplicatively written abelian group. A *degree* with values in  $A$  is a map  $d: \mathcal{E}_p \rightarrow A$  such that:

- (i) if  $(K, L), (L, M) \in \mathcal{E}_p$  then  $d(M/K) = d(M/L) \cdot d(L/K)$ ;
- (ii) if  $(K, L) \in \mathcal{E}_p$  is normal and  $L'/K'$  is a base extension of  $L/K$  then  $d(L/K) = d(L'/K')$ .

Let  $\text{Deg}(p, A)$  denote the set of all degrees  $d: \mathcal{E}_p \rightarrow A$ .

A degree  $d: \mathcal{E}_p \rightarrow A$  is called *universal* if for each multiplicatively written abelian group  $B$  the mapping  $\text{Hom}(A, B) \rightarrow \text{Deg}(p, B)$  sending  $f$  to  $f \circ d$  is a bijection.

**Definition 5.2.** Let  $K$  be a field of characteristic  $p > 0$  and let  $L/K$  be a field extension. Let  $\alpha \in L$ . If  $\alpha^{p^n} \in K$  for some  $n \in \mathbb{Z}_{\geq 0}$  then  $\alpha$  is called *purely inseparable*. The *inseparable closure* of  $K$  in  $L$  is  $\text{Ins}_L(K) = \{\alpha \in L : \alpha \text{ is purely inseparable over } K\}$ .

**Proposition 5.3.** Let  $K \subset L \subset M$  be a tower of field extensions such that  $L/K$  is purely inseparable and  $M/L$  is normal. Then  $M/K$  is a normal extension and  $\text{Aut}_K(M) = \text{Aut}_L(M)$ .

*Proof.* Let  $\overline{M}$  be an algebraic closure of  $M$  and let  $\text{Hom}_K(M, \overline{M})$  be the set of field homomorphism  $M \rightarrow \overline{M}$  that are the identity on  $K$ . Let  $\phi \in \text{Hom}_K(M, \overline{M})$  be arbitrary. Let  $\alpha \in L$  arbitrary and let  $f \in K[X]$  be irreducible such that  $f(\alpha) = 0$ . Then  $f(\phi(\alpha)) = 0$  and since  $L/K$  is purely inseparable it follows that  $\phi(\alpha) = \alpha$ . Hence  $\phi$  is a  $L$ -homomorphism and since  $M/L$  is normal it follows that  $\phi(M) = M$  making  $M/K$  normal. Similar argumentation shows that each  $\psi \in \text{Aut}_K(M)$  is an  $L$ -homomorphism hence  $\text{Aut}_K(M) = \text{Aut}_L(M)$ .  $\square$

**Proposition 5.4.** Let  $L/K$  be an algebraic extension. Then the following hold:

- (i)  $L = \text{Sep}_L(K)\text{Ins}_L(K)$  if and only if  $L$  is separable over  $\text{Ins}_L(K)$ .
- (ii) if  $L/K$  is normal then  $L$  is separable over  $\text{Ins}_L(K)$ .

*Proof.* (i). If  $L = \text{Sep}_L(K)\text{Ins}_L(K)$  then  $L$  is obtained by adjoining to  $\text{Ins}_L(K)$  roots of separable polynomials with coefficients in  $K$ , hence by polynomials with coefficients in  $\text{Ins}_L(K)$ . Conversely if  $L/\text{Ins}_L(K)$  is separable then  $L/\text{Ins}_L(K)\text{Sep}_L(K)$  is separable. Similarly since  $L/\text{Sep}_L(K)$  is purely inseparable so is  $L/\text{Ins}_L(K)\text{Sep}_L(K)$ . Hence  $L/\text{Ins}_L(K)\text{Sep}_L(K)$  is both separable and purely inseparable hence  $L = \text{Sep}_L(K)\text{Ins}_L(K)$ .

(ii). Let  $\alpha \in L \setminus \text{Ins}_L(K)$ . Then  $\alpha$  is not inseparable over  $K$ . Hence the minimal polynomial  $f$  of  $\alpha$  over  $K$  has at least one other distinct root  $\beta$  in an algebraic closure. Since  $L/K$  is normal it follows that  $\beta \in L$ . Note that there exists  $\sigma \in \text{Aut}_K(L)$  such that  $\sigma(\alpha) = \beta$ . Let  $g$  be the minimal polynomial of  $\alpha$  over  $\text{Ins}_L(K)$  and let  $\alpha_1, \dots, \alpha_r$  be the distinct roots of  $g$  in an algebraic closure. Note that  $r = \prod_{i=1}^r (X - \alpha_i)$  is separable and invariant under the action of  $\text{Aut}_{\text{Ins}_L(K)}(L)$ . Hence  $r \in \text{Ins}_L(K)[X]$  and thus  $L/\text{Ins}_L(K)$  is obtained by adjoining roots of separable polynomials and therefore is  $L/\text{Ins}_L(K)$  separable.  $\square$

**Theorem 5.5.** Let  $p$  be zero or a prime number. The map  $D_p: \mathcal{E}_p \rightarrow \mathcal{G}$  given by  $D_p(L/K) = [\text{Aut}_K(N)][\text{Aut}_L(N)]^{-1}$ , where  $N$  is a finite extension of  $L$  that is normal over  $K$ , is a degree.

*Proof.* It first needs to be shown that  $D_p$  is well-defined. Let  $(K, L) \in \mathcal{E}_p$  arbitrary. Let  $N_1, N_2$  be two finite extensions of  $L$  that are normal over  $\text{Ins}_L(K)$ . It follows from Proposition 5.3 that  $N_1/K$  and  $N_2/K$  are normal hence  $M = N_1 \cap N_2$  is normal over  $K$ . From the normality of  $N_i/K$ , where  $i = 1, 2$ , and  $M/K$  it follows that the short sequences

$$\begin{aligned} 1 \rightarrow \text{Aut}_M(N_i) \rightarrow \text{Aut}_L(N_i) \xrightarrow{\sigma \mapsto \sigma|_M} \text{Aut}_L(M) \rightarrow 1 \\ 1 \rightarrow \text{Aut}_M(N_i) \rightarrow \text{Aut}_K(N_i) \xrightarrow{\sigma \mapsto \sigma|_M} \text{Aut}_K(M) \rightarrow 1 \end{aligned}$$

are exact. Hence from Proposition 3.12 it follows that for  $i = 1, 2$

$$\begin{aligned} [\text{Aut}_K(N_i)][\text{Aut}_L(N_i)]^{-1} &= [\text{Aut}_M(N_i)][\text{Aut}_K(M)][\text{Aut}_L(M)]^{-1}[\text{Aut}_M(N_i)]^{-1} \\ &= [\text{Aut}_K(M)][\text{Aut}_L(M)]^{-1}. \end{aligned}$$

From Proposition 5.3 it follows that  $D_p$  is well defined.

Let  $(K, L), (L, M) \in \mathcal{E}_p$  be arbitrary and let  $N$  be a finite extension of  $M$  that is normal over  $K$ . Then from the above it follows that:

$$\begin{aligned} D_p(L/K) \cdot D_p(M/L) &= [\text{Aut}_K(N)][\text{Aut}_L(N)]^{-1} \cdot [\text{Aut}_L(N)][\text{Aut}_M(N)]^{-1} \\ &= [\text{Aut}_K(N)][\text{Aut}_M(N)]^{-1} = D_p(M/K). \end{aligned}$$

Suppose that  $L/K$  is normal and that  $L'/K'$  is a base extension of  $L/K$ . From Proposition 5.4 it follows that  $L/\text{Ins}_L(K)$  is separable. Let  $\psi: L \rightarrow L'$  be as in the definition of a base extension. It is clear that  $\psi(\text{Ins}_L(K)) \subset \text{Ins}_{L'}(K')$ . Hence  $L'/\text{Ins}_{L'}(K')$  is a base extension of  $L/\text{Ins}_L(K)$ . Therefore it follows from proposition 5.3 that it is no loss of generality to assume that  $L/K$  is separable. Hence  $L/K$  is Galois and from Proposition 2.5 it follows that  $D_p(L/K) = D_p(L'/K')$ . Hence  $D_p$  is a degree.  $\square$

**Definition 5.6.** Let  $p$  be zero or a prime number. We call the degree  $D_p$  given in Theorem 5.5 the *Galois degree*.

**Example 5.7.** Let  $p$  be zero or prime. Then the following are degrees:

- (i) Every basic degree  $d: \mathcal{E}_p \rightarrow A$  is a degree;
- (ii) The Galois degree  $D_p$ ;
- (iii) Assume  $p$  is prime. Then the map  $D: \mathcal{E}_p \rightarrow \mathcal{G} \times p^{\mathbb{Z}}$  given by  $D(L/K) = (D_p(L/K), [L:K]_i)$  is a degree.

The second statement is proven below.

**Proposition 5.8.** Let  $p$  be prime or zero. Then the following hold:

- (i) For all finite groups  $G$  and  $H$  there exists a tower of Galois extensions  $K \subset L \subset M$  such that  $\text{Char}(K) = p$  and  $\text{Gal}(M/L) \cong G$ ,  $\text{Gal}(L/K) \cong H$  and  $\text{Gal}(M/K) \cong G \times H$ .
- (ii) Let  $G$  be a finite group with composition factors  $Q_1, \dots, Q_n$ . Then there exists a tower of Galois extensions  $L_0 \subset L_1 \subset \dots \subset L_{n-1} \subset L_n$  and a permutation  $\sigma \in S_n$  such that  $\text{Char}(L_0) = p$  and  $\text{Gal}(L_n/L_0) = G$  and  $\text{Gal}(L_i/L_{i-1}) \cong Q_{\sigma(i)}$ .
- (iii) If  $p$  is prime,  $G, H$  are finite groups and  $n, m \in \mathbb{Z}_{\geq 0}$ . Then there exists a tower of field extensions  $K \subset L \subset M$  such that  $\text{Aut}_K(L) \cong H$ ,  $\text{Aut}_M(L) \cong G$ ,  $[L:K]_i = p^n$ ,  $[M:L]_i = p^m$  and  $\text{Aut}_K(M) \cong G \times H$ .

*Proof.* (i). Let  $\mathbb{F}$  be the prime field of characteristic  $p$  and let  $\mathcal{X} = \{X_\sigma : \sigma \in G \times H\}$  be a set of independent variables and let  $G \times H$  act on  $\mathcal{X}$  by  ${}^\tau X_\sigma = X_{\tau\sigma}$  for all  $\tau, \sigma \in G \times H$ . Take  $M = \mathbb{F}(\mathcal{X})$  and let  $G \times H$  act on  $M$  through its action on  $\mathcal{X}$ . Note that  $G \times \{1\} \triangleleft G \times H$  hence from Galois theory it follows that

$$M^{G \times H} \subset M^{G \times \{1\}} \subset M$$

is a tower of Galois extensions with

$$\text{Gal}(M/M^{G \times \{1\}}) \cong G \quad \text{and} \quad \text{Gal}(M^{G \times \{1\}}/M^{G \times H}) \cong H.$$

(ii). Let  $\{1\} = G_n \subset \dots \subset G_1 \subset G_0 = G$  be a composition series of  $G$ . Then by Theorem 3.6 there exists a permutation  $\sigma \in S_n$  such that  $G_i/G_{i+1} \cong Q_{\sigma(i)}$ . From part (i) it follows that there exists a Galois extension  $L/K$  such that  $\text{Char}(K) = p$  and  $\text{Gal}(L/K) \cong G$ . For  $0 \leq i \leq n$  define  $L_i = L^{G_i}$ , then  $L_0 = K$  and  $L_n = L$ . Since  $G_i$  is normal in  $G_{i-1}$  it follows from Galois theory that  $L_i/L_{i-1}$  is Galois with Galois group isomorphic to  $G_i/G_{i-1}$ .

(iii). Let  $K' \subset L' \subset M'$  be a tower of Galois extensions such that  $\text{Gal}(L'/K') \cong H$ ,  $\text{Gal}(M'/L') \cong G$  and  $\text{Gal}(M'/K') \cong G \times H$ . There exists such a tower follows from part (i). Let  $X$  and  $Y$  be two independent variables. Define  $K = K'(X^{p^n}, Y^{p^m})$ ,  $L = L'(X, Y^{p^m})$  and  $M = M'(X, Y)$ . Then  $[M : L]_i = p^m$  and  $[L : K]_i = p^n$ . Note that  $L/K'(X, Y^{p^m})$  is a base extension of  $M'/K'$ . Hence from Proposition 2.5 it follows that  $L/K(X, Y^{p^m})$  is Galois with  $\text{Gal}(L/K(X, Y^{p^m})) \cong \text{Gal}(L'/K')$ . Note that  $K(X, Y^{p^m}) = \text{Ins}_L(K)$ . Hence from Proposition 5.4 it follows that  $L/K$  is normal. Therefore  $\text{Aut}_K(L) = \text{Aut}_{\text{Ins}_L(K)}(L) \cong H$ . Applying the same arguments to  $M/L$  and  $M/K$  yields  $\text{Aut}_L(M) = \text{Aut}_{\text{Ins}_M(L)}(M) \cong G$  and  $\text{Aut}_K(M) = \text{Aut}_{\text{Ins}_M(K)}(M) \cong G \times H$ .  $\square$

**Example 5.9.** In this example it will be shown that the Galois degree  $D_p$ , where  $p$  is zero or a prime number, is not a basic degree. Hence it will be shown that not every degree is a basic degree. Consider the groups  $A_5$  and  $G = C_3 \times C_4 \times C_5$  and note that  $\#A_5 = \#G = 60$ . Let  $(K, L), (K', L') \in \mathcal{E}_p$  be Galois extensions such that  $\text{Gal}(L/K) \cong A_5$  and  $\text{Gal}(L'/K') \cong G$ . Proposition 5.8 shows that such  $L/K$  and  $L'/K'$  exist. Suppose that  $D_p$  is a basic degree. Then from Theorem 2.8 it follows that  $D_p(L/K) = D_p(L'/K')$ . Theorem 4.33 of [3] states that  $A_n$  is simple for  $n \geq 5$ . From this and Theorem 3.13 it follows that  $[A_5] \neq [G] = [C_3][C_4][C_5] \in \mathcal{G}$  hence  $D_p(L/K) \neq D_p(L'/K')$  contradicting  $D_p$  being a basic degree.

**Definition 5.10.** A Galois extension  $L/K$  is called *simple* if  $\text{Gal}(L/K)$  is simple.

**Notation.** Let  $L/K$  be a finite separable extension. Then  $\text{GCl}_K(L)$  denotes a Galois closure of  $L/K$ .

**Theorem 5.11.** The Galois degree  $D_0$  is universal.

*Proof.* Let  $B$  be an arbitrary abelian group and let  $d' : \mathcal{E}_0 \rightarrow B$  be an arbitrary degree. Let  $(K, L), (K', L') \in \mathcal{E}_0$  such that  $\text{Gal}(\text{GCl}_K(L)/K) \cong \text{Gal}(\text{GCl}_{K'}(L')/K')$  and  $\text{Gal}(\text{GCl}_K(L)/L) \cong \text{Gal}(\text{GCl}_{K'}(L')/L')$ . Then it follows from Theorem 2.17 that  $d'(L/K) = d'(L'/K')$ . Let  $Q_1, \dots, Q_n$  be the composition factors of  $\text{Gal}(\text{GCl}_K(L)/K)$  and let  $(K_i, L_i) \in \mathcal{E}_0$  be Galois such that  $\text{Gal}(L_i/K_i) \cong Q_i$ . Then from Proposition 5.8 and Theorem 2.17 one obtains that  $d'(\text{GCl}_K(L)/K) = \prod_{i=1}^n d'(L_i/K_i)$ . From this it follows that  $d'$  is uniquely determined by its restriction to

$$\mathcal{S}_0 = \{(K, L) \in \mathcal{E}_0 : L/K \text{ is simple Galois}\} \subset \mathcal{E}_0.$$

Hence it suffices to show that there exists a unique group homomorphism  $\phi : \mathcal{G} \rightarrow B$  such that  $\phi \circ D_0|_{\mathcal{S}_0} = d'|_{\mathcal{S}_0}$ . Define  $\psi : \mathcal{S} \rightarrow B$  by  $\psi([S]) = d'(L/K)$  where  $(K, L) \in$

$\mathcal{S}_0$  such that  $\text{Gal}(L/K) \in [S]$ . From Theorem 2.17 it follows that  $\psi$  is well-defined. Note that the following diagram commutes.

$$\begin{array}{ccc} & \mathcal{S} & \\ \begin{array}{c} \nearrow \\ (K, L) \mapsto [\text{Gal}(L/K)] \end{array} & & \searrow \psi \\ \mathcal{S}_0 & \xrightarrow{d'|_{\mathcal{S}_0}} & B \end{array}$$

From the universal mapping property of free abelian groups follows that  $\psi$  uniquely extends to a group homomorphism  $\phi: \mathcal{G} \rightarrow B$  that satisfies  $\phi \circ D_0|_{\mathcal{S}_0} = d'|_{\mathcal{S}_0}$ . This shows that the Galois degree  $D_0$  is universal.  $\square$

**Theorem 5.12.** Let  $p$  be prime. Then the degree  $D: \mathcal{E}_p \rightarrow \mathcal{G} \times p^{\mathbb{Z}}$  given by  $D(L/K) = (D_p(L/K), [L : K]_i)$  is universal.

*Proof.* Let  $B$  be an arbitrary multiplicatively written abelian group and let  $d': \mathcal{E}_p \rightarrow B$  be an arbitrary degree. Let  $(K, L), (K', L') \in \mathcal{E}_p$ . If  $[L : K]_i = [L' : K']_i$  then it follows from Theorem 2.14 and Corollary 2.13 and the fact that purely inseparable extensions are normal that  $d'(L/\text{Sep}_L(K)) = d'(L'/\text{Sep}_{L'}(K'))$ . If

$$\text{Gal}(\text{GCl}_K(\text{Sep}_L(K))/K) \cong \text{Gal}(\text{GCl}_{K'}(\text{Sep}_{L'}(K'))/K') \text{ and}$$

$$\text{Gal}(\text{GCl}_K(\text{Sep}_L(K))/\text{Sep}_L(K)) \cong \text{Gal}(\text{GCl}_{K'}(\text{Sep}_{L'}(K'))/\text{Sep}_{L'}(K'))$$

then it follows from Theorem 2.17 that  $d'(\text{Sep}_L(K)/K) = d'(\text{Sep}_{L'}(K')/K')$ . Hence if  $(K, L)$  and  $(K', L')$  satisfy both the above conditions then  $d'(L/K) = d'(L'/K')$ . Define  $\phi: \text{Ob}(\mathcal{C})/\cong \times \{p^n : n \in \mathbb{Z}_{\geq 0}\} \rightarrow B$  by  $\phi([G], p^n) = d'(L/K)$  where  $L/K$  is a field extension such that  $[L : K]_i = p^n$  and  $\text{Sep}_L(K)/K$  is Galois with  $\text{Gal}(\text{Sep}_L(K)/K) \in [G]$ . It follows from the above and Proposition 5.8 that  $\phi$  is well-defined and multiplicative. Hence it follows that  $\phi$  extends to a group homomorphism  $\bar{\phi}: \mathcal{G} \times p^{\mathbb{Z}} \rightarrow B$ . Note that  $\bar{\phi}$  is unique since  $\text{Ob}(\mathcal{C})/\cong \times \{p^n : n \in \mathbb{Z}_{\geq 0}\}$  generates  $\mathcal{G} \times p^{\mathbb{Z}}$ . It remains to show that  $\bar{\phi} \circ D = d'$ . Let  $(F, E) \in \mathcal{E}_p$  be arbitrary. Then the following holds

$$\begin{aligned} d'(E/F) &= d'(\text{Sep}_E(F)/F) \cdot d'(E/\text{Sep}_E(F)) \\ &= d'(\text{GCl}_F(\text{Sep}_E(F))/F)(d'(\text{GCl}_F(\text{Sep}_E(F))/\text{Sep}_E(F)))^{-1} d'(E/\text{Sep}_E(F)) \\ &= \bar{\phi}([\text{Gal}(\text{GCl}_F(\text{Sep}_E(F))/F)][\text{Gal}(\text{GCl}_F(\text{Sep}_E(F))/\text{Sep}_E(F))]^{-1}, \\ &\quad [E : F]_i) \\ &= \bar{\phi}(D_p(E/F), [E : F]_i) = (\bar{\phi} \circ D)(E/F). \end{aligned}$$

This shows that the degree  $D$  is universal.  $\square$

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